

**ANNEXURE - II**

**Format for investors complaints data to be displayed by Registered Merchant Bankers on their respective websites (for each category, separately as well as collectively)**

**Data for month ending – Aug 2026**

| SN | Received from                 | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time <sup>^</sup> (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---------------------------------------------|------------------------------|------------------------------------------------|
| 1  | Directly from Investors       | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 2  | SEBI (SCORES)                 | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 3  | Stock Exchanges (if relevant) | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 4  | Other Sources (if any)        | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |
| 5  | <b>Grand Total</b>            | 0                                   | 0                                    | 0                                     | 0                                           | 0                            | 0                                              |

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

| SN | Month      | Carried forward from month previous | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|------------|-------------------------------------|--------------------------------------|----------------------------------------|----------------------------------------------|
| 1  | Jan 2026   | 0                                   | 0                                    | 0                                      | 0                                            |
| 2  | Feb 2026   | 0                                   | 0                                    | 0                                      | 0                                            |
| 3  | Mar 2026   | 0                                   | 0                                    | 0                                      | 0                                            |
| 4  | April 2026 | 0                                   | 0                                    | 0                                      | 0                                            |
| 5  | May 2026   | 0                                   | 0                                    | 0                                      | 0                                            |
| 6  | June 2026  | 0                                   | 0                                    | 0                                      | 0                                            |
| 7  | July 2026  | 0                                   | 0                                    | 0                                      | 0                                            |
| 8  | Aug 2026   | 0                                   | 0                                    | 0                                      | 0                                            |

<sup>^</sup> Average Resolution time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-**

| SN | Year               | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|----|--------------------|------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------------|
| 1  | 2022               | 0                                  | 0                                   | 0                                   | 0                                         |
| 2  | 2023               | 0                                  | 0                                   | 0                                   | 0                                         |
| 3  | 2024               | 0                                  | 3                                   | 3                                   | 0                                         |
| 4  | 2025               | 0                                  | 3                                   | 3                                   | 0                                         |
| 5  | 2026               | 0                                  | 0                                   | 0                                   | 0                                         |
|    | <b>Grand Total</b> | 0                                  | 6                                   | 6                                   | 0                                         |