



PNB DEPOSITORY SERVICES

PNB House, 3rd Floor, Sir PM Road, Near RBI Building
Fort, Mumbai - 400001

SARAL Account Opening Form for Resident Individuals

Date	D	D	M	M	Y	Y	Y	Y

To be filled by the Depository Participant)

Application No.		Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.										
DP ID									Client ID	

Holders Details

Sole / First Holder's Name		UID																
Second Holder's Name		PAN																
		UCC																
		Exchange Name & ID																
Third Holder's Name		UID																
		PAN																
		UID																

Name *	
*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.	

Status	Sub – Status
☐ Individual	☐ Individual Resident

I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')		☐ Yes ☐ No
Account Statement Requirement	☐ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly	
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID		☐ Yes ☐ No
I / We would like to share the email ID with the RTA		☐ Yes ☐ No
I / We would like to receive the Annual Report ☐ Physical / ☐ Electronic / ☐ Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in Physical)		

I / We wish to receive dividend / interest directly in to my bank account as given in SARAL AOF through ECS (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	☐ Yes ☐ No
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Other Details Gross Annual Income Details	Income Range per annum:	
	☐ Up to Rs.1,00,000 ☐ Rs 1,00,000 to Rs 5,00,000 ☐ Rs 5,00,000 to Rs 10,00,000 ☐ Rs 10,00,000 to Rs 25,00,000 ☐ More than Rs 25,00,000	
	Net worth as on (Date)	D D M M Y Y Y Y Rs [Net worth should not be older than 1 year]
Occupation	☐ Private / Public Sector ☐ Govt. Service ☐ Business ☐ Professional ☐ Agriculture ☐ Retired ☐ Housewife ☐ Student ☐ Others (Specify) _____	
Please tick, if applicable:	☐ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP)	
Any other information:		



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SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).	
Easi	To register for easi , please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.	

Nomination Details

Nomination Registration No.	Dated
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- ☐ I/We hereby confirm that I/We **do not wish to appoint any nominee in my demat account** and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the demat account.. _

	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signatures			

Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature [in both the cases i.e. nomination / / opt out nomination -

- ☐ I/We wish to make nomination and do here by nominate the following person (s) who shall receive all the assests held in my/our account, in the event of my / our death.

Mandatory Details

Nomination Details	Nominee 1	Nominee 2	Nominee 3
Nominee Name : *First Name: Middle Name: *Last Name			
*Percentage of allocation of securities Equally [If not equally, please specify percentage] Or ☐ Share of each Nominee	%	%	%
Any odd lot after division shall be transferred to the first nominee mentioned in the form			
* Date of birth and Name of Guardian to be provided in case of minor nominee (s)			



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Non - mandatory details			
*Address of Nominee (s) / Guardian in case of Minor :			
*City/Place:			
*State & Country:			
*Pin Code:			
Mobile no/Telephone No. of the Nominee (s) Guardian in case of Minor :			
Email ID of the nominee (s) / Guardian in case of minor:			
Nominee/Guardian in case of minor) Identification Details – [Please tick any one of following and provide details of same]			
☐ Photograph & Signature ☐ PAN ☐ Aadhaar ☐ Saving Bank account no. ☐ Proof of Identity ☐ Demat Account ID			

* Marked is Mandatory

field Note

Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature .

Details of the Witness	
	Witness Details
Name of witness	
Address of witness	
Signature of witness	



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I / We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Signatures			

(Signatures should be preferably in black ink).

* **Marked is Mandatory field**

The Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

===== Please Tear Here) =====

Acknowledgement Receipt

Application No.:

Date:

We hereby acknowledge the receipt of the Account Opening and nomination Application Form:

Name of the Sole / First Holder	
Name of Second Holder	
Name of Third Holder	



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Services		Individual & others		Staff and senior citizen	Pool A/c	Corporate A/c		CM / Sub broker Beneficiary A/c	Stock Broker-Collateral (New category for NSDL Only for acceptance of Securities as collateral)
Account opening		Nil		Nil	500	Nil		Nil	Account opening
Advance/Deposit		Clients not maintaining SB/ CA A/c Rs. 10,000/-							
Account Maintenance Charges (AMC)		Email ID Registered for E-CAS	Email ID not Registered for E-CAS	NSDL/CDSL Senior citizen 115		Email ID Registered for E-CAS	Email ID not Registered for E-CAS		
Clients Maintaining SB/ CA a/c with PNB	NSDL	300	350		300	800	900	800	300
	CDSL	300	350	750	800	900	800	300	
Clients Not Maintaining SB/ CA a/c with PNB	NSDL	600	700	Staff - Nil	600	1600	1800	1300	600
	CDSL	600	700		1250	1600	1800	1300	750
Speed-e Password Based (Incl AMC)		500		Senior Citizen - 500 Staff - Nil		500		500	
Speed-e Token Based (Incl AMC)		2000		Senior Citizen - 2000 Staff - Nil	2000	2000		2000 (Incl DSC Charges)	2000
Dematerialisation (NSDL & CDSL)		Rs 2 per certificate with minimum of Rs 35/- plus postage as applicable		Rs 2 per certificate with minimum of Rs 35/- plus postage as applicable	NA	Rs 2 per certificate with minimum of Rs 35/- plus postage as applicable		Rs 2 per certificate with minimum of Rs 35/- plus postage as applicable	NA
Remat (NSDL & CDSL)		A fee of Rs. 10 for every hundred securities or part thereof or a flat fee of Rs 10 per certificate (Whichever is higher)		A fee of Rs. 10 for every hundred securities or part thereof or a flat fee of Rs 10 per certificate (Whichever is higher)	NA	A fee of Rs. 10 for every hundred securities or part thereof or a flat fee of Rs 10 per certificate (Whichever is higher)		A fee of Rs. 10 for every hundred securities or part thereof or a flat fee of Rs 10 per certificate (Whichever is higher)	NA
Transaction (Debit-Market, Off-Market & Inter depository) & redemption of Mutual fund units		0.03%. Minimum amount Rs. 25/-, Max Rs. 5000 *Online trading/Speede Rs. 10/-per txn where AMC is Annual		0.03%. Minimum amount Rs. 25/-, Max Rs. 5000 *Online trading/Speede Rs. 10/-per txn where AMC is Annual	NSDL: Rs. 10/- CDSL: *Off Market/ Inter Depository Sell- *Rs. 10/- Off Market/ Interd	0.03%. Minimum amount Rs. 25/- Max Rs. 5000 *Online trading/Speede Rs. 10/-per txn where AMC is Annual		Rs. 13/- per transaction.	NSDL Only: Rs. 10/-



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			eposit ory CM Delive ry: 0.01% of Value : Minim um of Rs. 18/- *On Market Buy: 0.01% of Value Minimum Rs. 5 and Maximum Rs. 12.			
Transaction (Commercial Paper)	*Commercial Paper 0.033% of market value with minimum of Rs 30/- per instruction and Max. of Rs 300/- per instruction.	*Commercial Paper 0.033% of market value with minimum of Rs 30/- per instruction and Max. of Rs 300/- per instruction. For Staff :- Rs. 20/- per instruction	NA	*Commercial Paper 0.033% of market value with minimum of Rs 30/- per instruction and Max. of Rs 300/- per instruction.	*Commercial Paper 0.033% of market value with minimum of Rs 30/- per instruction and Max. of Rs 300/- per instruction.	NA
Pledge Creation (NSDL & CDSL)	0.02% of value with minimum Rs. 100	0.02% of value with minimum Rs. 100	NA	0.02% of value with minimum Rs. 100	0.02% of value with minimum Rs. 100	100 +* NSDLs actual charges, if any. Rs. 100 will be charged for cancellation of Pledge, as pledge cancellation charges.
Pledge Creation confirmation (NSDL & CDSL)	50% of pledge creation charges	50% of pledge creation charges	NA	50% of pledge creation charges	50% of pledge creation charges	50% of pledge creation charges
Pledge Closure (NSDL & CDSL)	Rs. 50/-	Rs. 50/-	NA	Rs. 50/-	Rs. 50/-	100 +* NSDLs actual charges, if any
Pledge Closure confirmation (NSDL & CDSL)	Rs. 50/-	Rs. 50/-	NA	Rs. 50/-	Rs. 50/-	100 + * NSDLs actual charges, if any
Pledge Invocation per ISIN (NSDL & CDSL)	Rs. 50/-	Rs. 50/-	NA	Rs. 50/-	Rs. 50/-	100 + * NSDLs actual charges, if any
Failed instruction charges (NSDL & CDSL)	Rs. 10/-	Rs. 10/-	Rs. 10/-	Rs. 10/-	Rs. 10/-	Rs. 10/-
Adhoc Account Statement	Rs. 50/-	Sr Citizen- Rs.50/-	Rs. 50/-	Rs. 50/-	Rs. 50/-	Rs. 50/-

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